

**UNIVERSITY OF TENNESSEE'S  
CAPS Outreach Center • Upward Bound**

1914 Andy Holt Avenue, 25 HPER Building Knoxville, TN 37996-2745  
Main Office: (865) 974-4466 Fax: (865) 974-3961  
<http://caps.utk.edu>

**New Student Application**  
Math and Science Center, Upward Bound

**Personal Information**

Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Age: \_\_\_\_\_

Social Security Number: \_\_\_\_\_

Street Address/ P.O. Box: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Home Telephone: (\_\_\_\_) \_\_\_\_\_ Student Cell Phone: (\_\_\_\_) \_\_\_\_\_

Student's Email Address: \_\_\_\_\_

Parent's Email Address: \_\_\_\_\_ Gender:  Female  Male

Ethnicity/Race:  African-American  Asian  Caucasian  Hispanic/ Latino  Native American/  
 Native Hawaiian/Pacific Islander  Alaskan Native  Other \_\_\_\_\_

Are you currently participating in another TRIO program? (Upward Bound or Talent Search)  Yes  No

If Yes, Name of Program \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone Number: (\_\_\_\_) \_\_\_\_\_

Are you a U.S. Citizen or Permanent Resident of the U.S.?  Yes  No If No, Registration No.: \_\_\_\_\_

Do you have a disability?  Yes  No If Yes, please describe:  Physical \_\_\_\_\_  Learning \_\_\_\_\_

What accommodations will you need, if any? \_\_\_\_\_

Is English your primary spoken language?

Yes  No If No, what language do you primarily speak? \_\_\_\_\_

**Parent/ Guardian Education and Employment**

With whom do you currently live?

Both Parents  Mother and Stepfather  Father and Stepmother  Mother Only  Father Only  Grandparent(s)  
 Foster Parents  Ward of the Court  Other: \_\_\_\_\_

Mother/Stepmother's Name: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Occupation: \_\_\_\_\_ Employer: \_\_\_\_\_ Business Phone: \_\_\_\_\_

Check all that apply to Mother only: Veteran of the Armed Services  Yes  No

Did not complete high school  Completed High School  GED  
 Certificate/ Trade Program  1-3 years college  4-year college graduate

Father/ Stepfather's Name: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Occupation: \_\_\_\_\_ Employer: \_\_\_\_\_ Business Phone: \_\_\_\_\_

Check all that apply to Father only: Veteran of the Armed Services  Yes  No

Did not complete high school  Completed High School  GED  
 Certificate/ Trade Program  1-3 years college  4-year college graduate

**Academic Information**

Current Grade Level: 9th 10th 11th Expected Graduation Date \_\_\_\_\_ Current GPA: \_\_\_\_\_

Current High School: \_\_\_\_\_

High School Address:

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
 Phone: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Name of your Guidance Counselor: \_\_\_\_\_

Standardized Testing and Scores:

ACT PLAN \_\_\_\_\_ PSAT \_\_\_\_\_ ACT \_\_\_\_\_ SAT V \_\_\_\_\_ M W Cumulative \_\_\_\_\_

Completed Math Courses and Grades Received (A, B, C, D, F) during High School:

1. Name: \_\_\_\_\_ Grade Obtained: \_\_\_\_\_ Semester & Year: \_\_\_\_\_
2. Name: \_\_\_\_\_ Grade Obtained: \_\_\_\_\_ Semester & Year: \_\_\_\_\_
3. Name: \_\_\_\_\_ Grade Obtained: \_\_\_\_\_ Semester & Year: \_\_\_\_\_
4. Name: \_\_\_\_\_ Grade Obtained: \_\_\_\_\_ Semester & Year: \_\_\_\_\_

Educational Plan (check one):  No Plans  Area Vocational School 2-year College 4-year University

Career Goals: \_\_\_\_\_ How did you become interested in this career? \_\_\_\_\_

**Academic Need**

Please check all of the boxes that best describe your situation. It is important to be honest when answering these questions:

- |  |                                  |   |
|--|----------------------------------|---|
| 1. My grade point average is below 2.0.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 2. I have low achievement test scores.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 3. I wish to seek a higher education past high school.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 4. I have the opportunity to take challenging college preparatory classes in high school             | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 5. Teachers and/or guidance counselors encourage me to take challenging college preparation courses. | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 6. My parent(s) encourage me to take challenging college preparatory courses.                        | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 7. I need information regarding careers in order to determine my future goals.                       | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 8. I have limited proficiency of the English language.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 9. I have the confidence, self-esteem, and social skills to be successful.                           | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 10. Most of the people living in my community are from low-income families.                          | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 11. I live in an extremely rural part of the state.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 12. I need to learn how to ask better and/or clearer questions in class.                             | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 13. I need to learn better note taking strategies.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 14. I need information on listening more effectively.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 15. I feel comfortable in new situations.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 16. I want to learn about the wise use of loans, credit and money.                                   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 17. I speak very well in public.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 18. I need help with the ACT test.   | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 19. I want to learn how to recognize my strengths and weaknesses.                                    | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 20. I want to learn to set goals and make plans to reach them.                                       | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 21. I do not know how to interact well with teachers.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 22. I need to learn problem-solving techniques.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |
| 23. I need to learn how to express myself more effectively.  | <input type="checkbox"/> I agree | <input type="checkbox"/> I do not agree |

Self-Report Questionnaire

1. How did you find out about UTK's Upward Bound Program? \_\_\_\_\_

Read each question below carefully. Check the box that you believe is the most appropriate and/ or complete the statements below.

2. Going to school after high school

- is something that I am determined to do
 is something I've seriously considered
 occasionally crosses my mind
 is something that I am NOT interested in

3. I plan to complete the following educational level

- High School Diploma
 Military
 Technical school or certificate program
 Associate's degree (2-year degree)
 Bachelor's degree (4-year degree)
 Graduate/ Professional degree (Ph.D., Master's, Law degree, etc.)

4. Three careers that I have considered pursuing are:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

5. My favorite classes in school are:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

6. My least favorite classes in school are:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

7. Please check the academic areas that you excel in:

- Language arts  Writing
 Math  Science
 Computers  Art/ Drama
 History  Social Sciences
 Other \_\_\_\_\_

8. Dropping out of high school is:

- something I've seriously considered
 something I've occasionally considered
 something I would never consider

9. Describe your high school attendance record:

- Missed less than 3 days per year.
 Missed less than 5 days per year.
 Missed less than 7 days per year.
 Missed less than 10 days per year.
 Missed 10 or more days per year.

Explain why you missed more than a week of school:

\_\_\_\_\_

10. Three things I am proud of are:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

11. Three goals I have for myself are:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

12. Describe your vision of your life ten years from now:

- a. \_\_\_\_\_
b. \_\_\_\_\_
c. \_\_\_\_\_

13. Which of the following would be the most likely reason that you would not achieve your educational goals (select all that apply):

- Lack of financial resources
 Lack of motivation  Marriage
 Lack of interest in school  Failing grades
 Low standardized test scores
 Acceptance of a job/entrance into workforce
 Entrance into the military
 Other: \_\_\_\_\_

14. If you are selected to be a participate in UTK's Upward Bound program, will you be able to and willing to (1) attend Saturday sessions; (2) participate in all online activities, (3) take required tutoring, if necessary; (4) attend at least one six-week summer program with 2-4 weeks residential; and (5) arrange your schedule around program activities?

Please select one:  Yes  No  Maybe  I don't know

Why? \_\_\_\_\_

**Student Interest Survey**

What would you most like to receive from UTK's Upward Bound? Please check all boxes that apply.

- Math tutoring                       Science tutoring                       English tutoring                       Social Studies tutoring
- Tutoring in other subjects: \_\_\_\_\_  Foreign Language tutoring                       Social counseling
- Personal counseling                       Time management                       Help improving grades                       College visits
- Cultural activities                       Community service                       Career exploration                       Cultural activities
- Financial Literacy                       Study skills instruction                       Public Speaking                       Test-taking skills
- Postsecondary/college information                       Listening more effectively                       Interacting with teachers
- Financial aid/Scholarship information                       Preparing for college exams

Other: \_\_\_\_\_

Extracurricular activities, honors, and awards received, include dates (attach an additional sheet if necessary):

\_\_\_\_\_  
\_\_\_\_\_

**Student Essay**

Please write a brief summary of why you are interested in participating in the Math and Science Center Upward Bound summer program, and include this essay with your application. Your essay will be scored based upon content, time/effort, and neatness.

**Application Materials Checklist**

In addition to this application, you must submit the following materials in order to be considered for the Math and Science Center:

- If you are not a U.S. citizen, you MUST submit one of the following:
  - Official documentation that you are a permanent resident of the United States OR
  - Official documentation that you are in the United States for other than a temporary purpose and evidence from the Immigration and Naturalization Service of your intent to become a permanent resident
- Copy of your parent's complete 1040, 1040A, or 1040 EZ income tax form, or documentation from a government agency that your parent(s) did not file taxes. *Please note that a W-2 form will NOT be accepted.*
- Completed application essay.
- Signed Confidential Financial Statement by a parent. (Attached to this application).
- Signed Math Teacher Recommendation form.
- Signed Science Teacher Recommendation form.
- Signed Counselor Recommendation form from your High School Guidance Counselor.
- Signed Student Release form. (Attached to this application).
- Copy of your most recent high school transcripts and/or standardized test scores. (Make request to Guidance Counselor).

You may give your completed application materials to your high school Upward Bound Educational Specialist, your high school counselor, or you may send them directly to the UTK's Upward Bound Office at the following address:

CAPS OUTREACH CENTER                      OR                      Fax to: (865) 974-3961  
 University of Tennessee  
 Math and Science Center  
 1914 Andy Holt Ave., 25 HPER Bldg.  
 Knoxville, TN 37996

Additional information can be found on the web at <http://caps.utk.edu> or by calling 865/974-4466

Parent/ Guardian Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Student/ Applicant Signature: \_\_\_\_\_ Date: \_\_\_\_\_

<b>Office Use Only:</b> EF _____ F _____ AR _____ Does Not Qualify _____
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(School Counselor)

# Recommendation Form

TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound

Name of Student: \_\_\_\_\_ Current Grade Level: \_\_\_\_\_

Name of Counselor: (please print): \_\_\_\_\_ School Name: \_\_\_\_\_

Please check the appropriate statement concerning the above named student who is applying to the The University of Tennessee Upward Bound program at CAPS Outreach Center.

- I recommend this student without reservation for participation in The University of Tennessee's Upward Bound program.
- I recommend this student with reservation(s) for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)
- I do not recommend this student for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)

### On Standardized Tests:

State Reading/Language Arts     Advanced     Proficient     Below Proficient

State Math     Advanced     Proficient     Below Proficient

Based on my professional judgment, this student would benefit most from the following services: Please rate in order of importance (1 = Greatest Need...)

_____ Academic Instruction/Tutoring	_____ Counseling (Financial Aid, Educational Career, etc.)
_____ Cultural Activities	_____ On-campus experience (in the summer)
_____ Postsecondary Fieldtrips	_____ Other (please specify)

Any Additional Comments:

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\_\_\_\_\_  
Counselor's Signature

\_\_\_\_\_  
Title/Position

\_\_\_\_\_  
Date

(Science Teacher)

# Recommendation Form

TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound

Name of Student: \_\_\_\_\_ Current Grade Level: \_\_\_\_\_

Name of Teacher: (please print): \_\_\_\_\_ School Name: \_\_\_\_\_

Please check the appropriate statement concerning the above named student who is applying to the The University of Tennessee Upward Bound program at CAPS Outreach Center.

- I recommend this student without reservation for participation in The University of Tennessee's Upward Bound program.
- I recommend this student with reservation(s) for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)
- I do not recommend this student for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)

Based on my professional judgment, this student would benefit most from the following services: Please rate in order of importance (1 = Greatest Need...)

\_\_\_\_\_ Academic Instruction/Tutoring

\_\_\_\_\_ Counseling (Financial Aid, Educational Career, etc.)

\_\_\_\_\_ Cultural Activities

\_\_\_\_\_ On-campus experience (in the summer)

\_\_\_\_\_ Postsecondary Fieldtrips

\_\_\_\_\_ Other (please specify)

Any Additional Comments:

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\_\_\_\_\_  
Teacher's Signature

\_\_\_\_\_  
Title/Position

\_\_\_\_\_  
Date

(Math Teacher)

# Recommendation Form

TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound

Name of Student: \_\_\_\_\_ Current Grade Level: \_\_\_\_\_

Name of Teacher: (please print): \_\_\_\_\_ School Name: \_\_\_\_\_

Please check the appropriate statement concerning the above named student who is applying to the The University of Tennessee Upward Bound program at CAPS Outreach Center.

- I recommend this student without reservation for participation in The University of Tennessee's Upward Bound program.
- I recommend this student with reservation(s) for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)
- I do not recommend this student for participation in The University of Tennessee's Upward Bound program. (Please explain reasons below.)

Based on my professional judgment, this student would benefit most from the following services: Please rate in order of importance (1 = Greatest Need...)

\_\_\_\_\_ Academic Instruction/Tutoring

\_\_\_\_\_ Counseling (Financial Aid, Educational Career, etc.)

\_\_\_\_\_ Cultural Activities

\_\_\_\_\_ On-campus experience (in the summer)

\_\_\_\_\_ Postsecondary Fieldtrips

\_\_\_\_\_ Other (please specify)

Any Additional Comments:

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\_\_\_\_\_  
Teacher's Signature

\_\_\_\_\_  
Title/Position

\_\_\_\_\_  
Date

# Confidential Financial Statement

TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound

*This information will help The University of Tennessee Upward Bound Program advise program participants on eligibility for financial aid (scholarships, loans, or grants) as well as determine the applicant's eligibility. Please answer all the questions. All answers will be kept strictly confidential.*

APPLICANT'S NAME: \_\_\_\_\_  
Last First MI

Applicant lives with:  One Parent  Two Parents  Spouse  Alone (Self-supporting)  Other

Name of HEAD OF HOUSEHOLD: \_\_\_\_\_  
Last First MI

RELATIONSHIP TO APPLICANT:  Father  Mother  Spouse  Self-supporting  
 Stepmother  Stepfather  Other \_\_\_\_\_

Address of HEAD OF HOUSEHOLD: \_\_\_\_\_  
(If address differs from applicant) Street City State Zip

Name of EMPLOYER OF HEAD OF HOUSEHOLD: \_\_\_\_\_

Name of EMPLOYER OF APPLICANT, if NOT Head of Household: \_\_\_\_\_  
Position: \_\_\_\_\_

How many people are in your household? (Fill the numbers in the blanks)

Applicant	_____	Please specify "Other Dependents":	
Parent(s) (1 or 2)	_____	_____	_____
Brothers/Sisters	_____	_____	_____
Other Dependents	_____	_____	_____
TOTAL:	_____		

Please indicate tax return filing status of HEAD OF HOUSEHOLD: (Check one)

Married, filing jointly  Single, head of household  
 Married, filing singly  Did not file

INCOME:

- Adjusted gross income for the last tax year was \$ \_\_\_\_\_. If you filed jointly, please include both incomes. Do not include income from any of the categories listed below.
- |                 |       |              |              |       |              |
|-----------------|-------|--------------|--------------|-------|--------------|
| Social Security | _____ | Amount/month | Unemployment | _____ | Amount/month |
| AFDC            | _____ | Amount/month | Disability   | _____ | Amount/month |
| SSI             | _____ | Amount/month | Retirement   | _____ | Amount/month |

Is student eligible for the free/reduced lunch program at his/her high school? :  YES  NO

Additional comments concerning your financial situation:

I certify that I have answered every question above and that the above information and income data are correct to the best of my knowledge. Furthermore, I understand that all information provided will be held in strict confidence.

\_\_\_\_\_  
Head of Household's Signature

\_\_\_\_\_  
Date



# School Release Form

**TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound**

*As required by the U.S. Department of Education, our grant funding source, we track our students' success throughout their academic careers. By signing below confirms you and your student's commitment to the program should he or she be accepted.*

We, \_\_\_\_\_ and \_\_\_\_\_, hereby give our permission  
(student name) (parent name)

for \_\_\_\_\_ to release all needed educational records, including transcripts,  
(high school)

period grades, ACT, PSAT, other standardized test scores, etc. to The University of Tennessee Upward Bound Program with this application and as requested from this point forward.

We, also hereby, give our permission for information regarding future enrollment, college financial aid packages and future transcripts of all college level work to be released to The University of Tennessee Upward Bound Program, 25 HPER Building, 1914 Andy Holt Ave., Knoxville, TN 37996.

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Student's Social Security No.

Student's Signature

Date

Parent's Signature

Date

UT-CAPS UB Form 002, 2013

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# School Release Form

**TRIO • The University of Tennessee • CAPS Outreach Center • Upward Bound**

*As required by the U.S. Department of Education, our grant funding source, we track our students' success throughout their academic careers. By signing below confirms you and your student's commitment to the program should he or she be accepted.*

We, \_\_\_\_\_ and \_\_\_\_\_, hereby give our permission  
(student name) (parent name)

for \_\_\_\_\_ to release all needed educational records, including transcripts,  
(high school)

period grades, ACT, PSAT, other standardized test scores, etc. to The University of Tennessee Upward Bound Program with this application and as requested from this point forward.

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Student's Social Security No.

Student's Signature

Date

Parent's Signature

Date

UT-CAPS UB Form 002, 2013